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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/667,532	09/22/2003	Sean M. Megley	16190-002001	9933
26161 7590 11/26/2008 FISH & RICHARDSON PC P.O. BOX 1022 MINNEAPOLIS, MN 55440-1022			EXAMINER MESFIN, YEMANE	
			ART UNIT 2444	PAPER NUMBER
			MAIL DATE 11/26/2008	DELIVERY MODE PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary (For use in the First Action Interview Pilot Program)	Application No.	Applicant(s)	
	10/667,532	MEGLEY, SEAN M.	
	Examiner	Art Unit	Page 1 of 2
	Yemane Mesfin	2444	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

THE SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE **ONE MONTH OR THIRTY (30) DAYS**, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

This time period for reply is extendable under 37 CFR 1.136(a) for only ONE additional MONTH. This communication constitutes notice under 37 CFR 1.136(a)(1)(i).

☒ Applicant's request to not have a first-action interview is acknowledged.

Status

1) ☒ Responsive to communication(s) filed on 15 September 2008 and interview conducted on 29 September 2008.

2) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

3) ☒ Claim(s) 1-10, 13, 15-18 and 20-24 is/are pending in the application.

3a) Of the above claim(s) _____ is/are withdrawn from consideration.

4) ☐ Claim(s) _____ is/are allowed.

5) ☒ Claim(s) 1-10, 13, 15-18 and 20-24 is/are rejected.

6) ☐ Claim(s) _____ is/are objected to.

7) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

8) ☐ The specification is objected to by the Examiner.

9) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.

Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).

Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).

10) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

11) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).

a) ☐ All b) ☐ Some * c) ☐ None of:

1. ☐ Certified copies of the priority documents have been received.

2. ☐ Certified copies of the priority documents have been received in Application No. _____.

3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

*See the attached detailed Office action for a list of the certified copies not received.

Contact Information

Examiner's Telephone Number: (571)272-3927

Examiner's Typical Work Schedule: 9:30 AM -7:00 PM

Supervisor's Name: William Vaughn

Supervisor's Telephone Number: 572-272-3922

Attachment(s)

1) ☒ Notice of References Cited (PTO-892)

2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)

3) ☐ Information Disclosure Statement(s) (PTO/SB/08)
Paper No(s)/Mail Date _____.

4) ☒ Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____.

5) ☐ Notice of Informal Patent Application

6) ☐ Other: _____.

Office Action Summary

Application No.

10667532

Applicant(s)

MEGLEY, SEAN M.

Examiner

Yemane Mesfin

Art Unit

2444

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Notification of Rejection(s) and/or Objection(s)

#	Claim(s)	Reference(s) (if applicable)	Rejection Statutory Basis	Brief Explanation of Rejection
1	1-10, 13, 15-18 and 20-24	Visser, Lineman	103 (a)	As per claims 1-10, 13, 15-18 and 20-24 (see Visser Abstract, Fig. 1A, Figs. 2A-2D and Page 2, ¶¶0021-0024, Visser teaches a computer based compliance management system, performing automated rule compliance method/system by associating organizational (see continuation below)

Expanded Discussion/Commentary

1		elements of an enterprise with different rules and regulations and computing a computer based compliance of each enterprise element and rendering the compliance results of each element in an enterprise to a responsible party (i.e., management officer). Visser, however, was silent about the result rendered being in a form of compliance scores and displaying such numerical compliance scores. However, as evidenced by the teachings of Lineman, calculating a numerical compliance score and displaying the compliance scores (see continuation below)
1		in a graphical interface of a management officer was known in the art at the time the invention was made (see Lineman, Fig. 11D showing a chart on a graphical interface, Figs. 13A-13C, Page 8, ¶0081, and Page 9, ¶¶0086-0087). Thus, it would have been obvious to have modified the teachings of Visser to have specific numerical scores in order to facilitate the rule compliance management by individually analyzing individual element's actual compliance range to the organizations specific rules and regulations.
DATE: 11/23/2008		/Yemane Mesfin/ Examiner, Art Unit 2444